Attorney's Docket No.: 10380-004001



Applicants: Thurston Gilman et al. Serial No.: 09/777,397

Art Unit : 2163 Examiner : Unknown

Filed:

: February 6, 2001

Title : CONTROLI

: CONTROLLING A TRADING ACCOUNT OWNED BY A SECURITIES

TRADER

Attention: Official Draftsman

Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL OF FORMAL DRAWINGS

In response to the Notice of Incomplete Reply (copy enclosed) mailed June 22, 2001 and the Notice of Informal Application mailed March 19, 2001, Applicants enclose thirty-two (32) sheets of formal drawings to be substituted for the corresponding drawings presently in the application. Also, enclosed is a Two-Month Petition for Extension of Time and a check for the fee to extend the period for the response to July 19, 2001. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 19, 2001

Paul A. Rysher

Reg. No. 40,780

Fish & Richardson P.C. 225 Franklin Street

Boston, MA 02110-2804 Telephone: (617) 542-5070 Facsimile: (617) 542-8906

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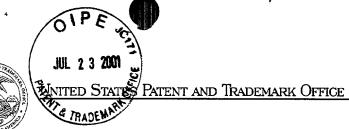
CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Pateris, Washington, D.C. 20231.

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FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

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02/06/2001

Thurston Gilman

10380-004001

CONFIRMATION NO. 4534

FORMALITIES LETTER

OC00000006216918

FRANK R. OCCHIUTI Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804

Date Mailed: 06/22/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, doesnot include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice <u>MUST</u> be returned with the reply.

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PART 2 - COPY TO BE RETURNED WITH RESPONSE